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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 47079-0129

First Inventor Jason C. Gilmore

Title GAMING MACHINE WITH CHAIN REACTION SELECTION FEATURE

Express Mail Label No. EH323733753US filed January 9, 2002

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages 14] (preferred arrangement set forth below)	a. <input type="checkbox"/> Computer Readable Form (CRF)
- Descriptive title of the Invention	b. <input type="checkbox"/> Specification Sequence Listing on:
- Cross References to Related Applications	i. <input type="checkbox"/> CD ROM or CD-R (2 copies); or
- Statement Regarding Fed sponsored R & D Invention	ii. <input type="checkbox"/> paper
- Reference to Microfiche Appendix	c. <input type="checkbox"/> Statement verifying identity of above copies
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings (if filed)	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 8]	
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3]	
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> English Translation Document (if applicable)
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
	13. <input type="checkbox"/> Preliminary Amendment
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
	17. <input type="checkbox"/> Other

Accompanying Application Parts

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. Other

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: ____ / ____ , filed

Prior application Information: Examiner _____ Group/Art Unit: _____

For **CONTINUATION OR DIVISIONAL APPS only**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or New correspondence address below

NAME	Michael J. Blankstein				
ADDRESS	WMS Gaming Inc. 800 South Northpoint Boulevard				
CITY	Waukegan	STATE	IL	ZIP CODE	60085
COUNTRY	USA	TELEPHONE	(773) 961-1480		FAX (773) 961-1237
Name (Print/Type)	Michael J. Blankstein	Registration No. (Attorney/Agent)	37,097		
Signature			Date January 9, 2002		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 870)

Complete if Known	
Application Number	
Filing Date	January 9, 2002
First Named Inventor	Jason C. Gilmore
Examiner Name	
Group Art Unit	
Attorney Docket Number	47079-0129

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES	
Deposit Account Number <u>232409/(0129)</u>		Large Entity Small Entity	
Deposit Account Name <u>WMS Gaming Inc.</u>		Fee Code	Fee (\$)
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		Fee Code	Fee (\$)
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Fee Description	Fee
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			

FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	<u>740</u>
106	330	206	165	Design filing fee	—
107	510	207	255	Plant filing fee	—
108	740	208	370	Reissue filing fee	—
114	160	214	80	Provisional filing fee	—
SUBTOTAL (1)				(\$)	<u>740</u>
2. EXTRA CLAIM FEES					
Large Entity	Small Entity	Extra	Fee from below	Fee Paid	
Total Claims	25-20 =	5	X <u>18</u>	=	<u>90</u>
Independent Claims	3 - 3 =	0	X <u>84</u>	=	<u>0</u>
Multiple Dependent Claims	—	X —	—	—	—
Large Entity Small Entity					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)	<u>90</u>

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES					
Large Entity	Entity Fee (\$)	Small Entity	Entity Fee (\$)	Fee Description	Fee
105	130	205	65	Surcharge - late filing fee or oath	—
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	—
139	130	139	130	Non-English specification	—
147	2,520	147	2,520	For filing a request for ex parte reexamination	—
112	920	112	920	Requesting publication of SIR prior to Examiner action	—
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	—
115	110	215	55	Extension for reply within first month	—
116	400	216	200	Extension for reply within second month	—
117	920	217	460	Extension for reply within third month	—
118	1,440	218	720	Extension for reply within fourth month	—
128	1,960	228	980	Extension for reply within fifth month	—
119	320	219	160	Notice of Appeal	—
120	320	220	160	Filing a brief in support of an appeal	—
121	280	221	140	Request for oral hearing	—
138	1,510	138	1,510	Petition to institute a public use proceeding	—
140	110	240	55	Petition to revive - unavoidable	—
141	1,280	241	640	Petition to revive - unintentional	—
142	1,280	242	640	Utility issue fee (or reissue)	—
143	460	243	230	Design issue fee	—
144	620	244	310	Plant issue fee	—
122	130	122	130	Petitions to the Commissioner	—
123	50	123	50	Processing fee under 37 CFR 1.17(q)	—
126	180	126	180	Submission of Information Disclosure Statement	—
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	—
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	—
179	740	279	370	Request for Continued Examination (RCE)	—
169	900	169	900	Request for expedited examination of a design application	—
Other fee (specify) — —				SUBTOTAL (3)	(\$ 40)
* Reduced by Basic Filing Fee Paid					

SUBMITTED BY					
Typed or Printed Name	Michael J. Blankstein	Registration No. (Attorney/Agent)	37,097	Telephone	(773) 961-1480
Signature				Date	January 9, 2002